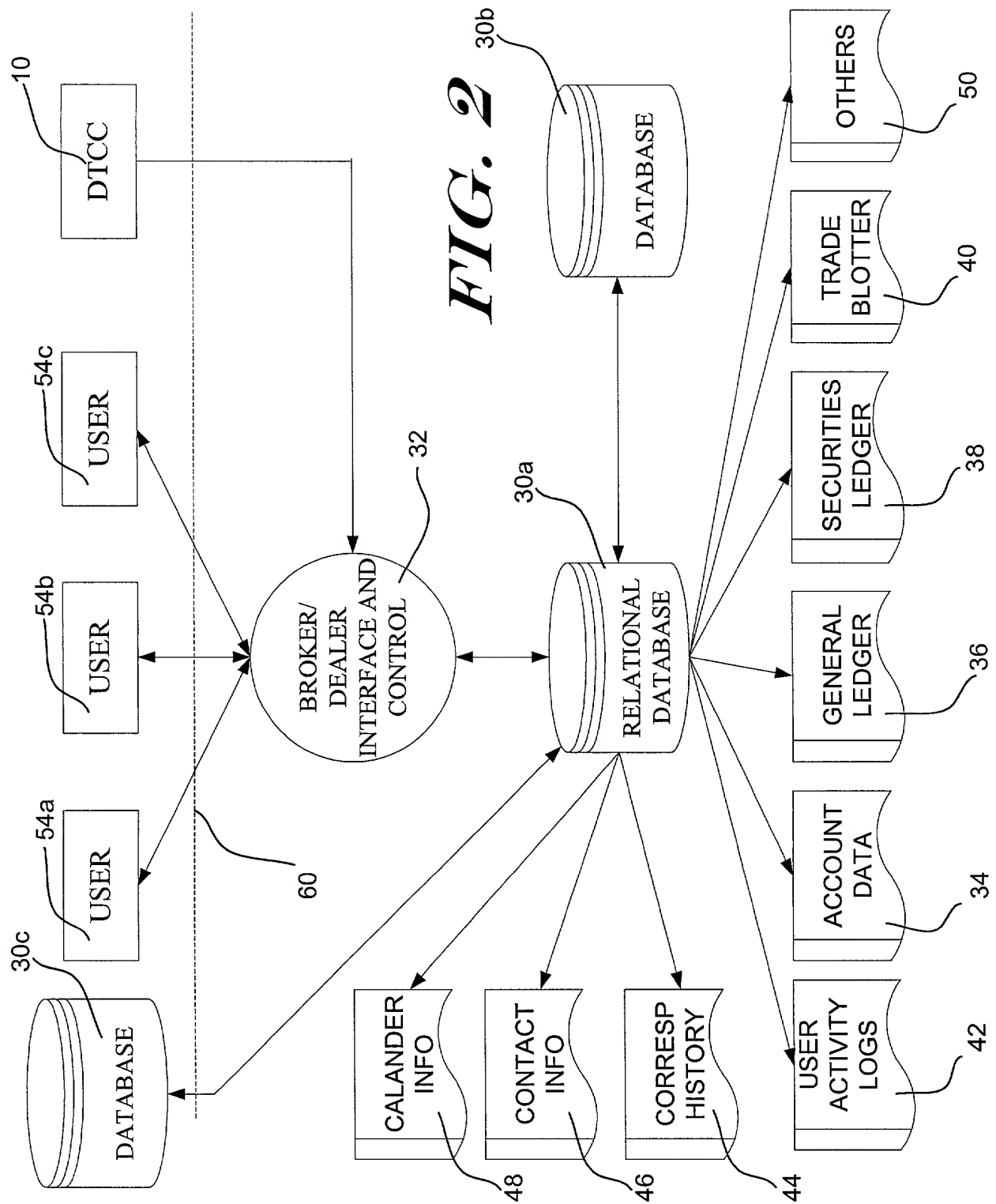
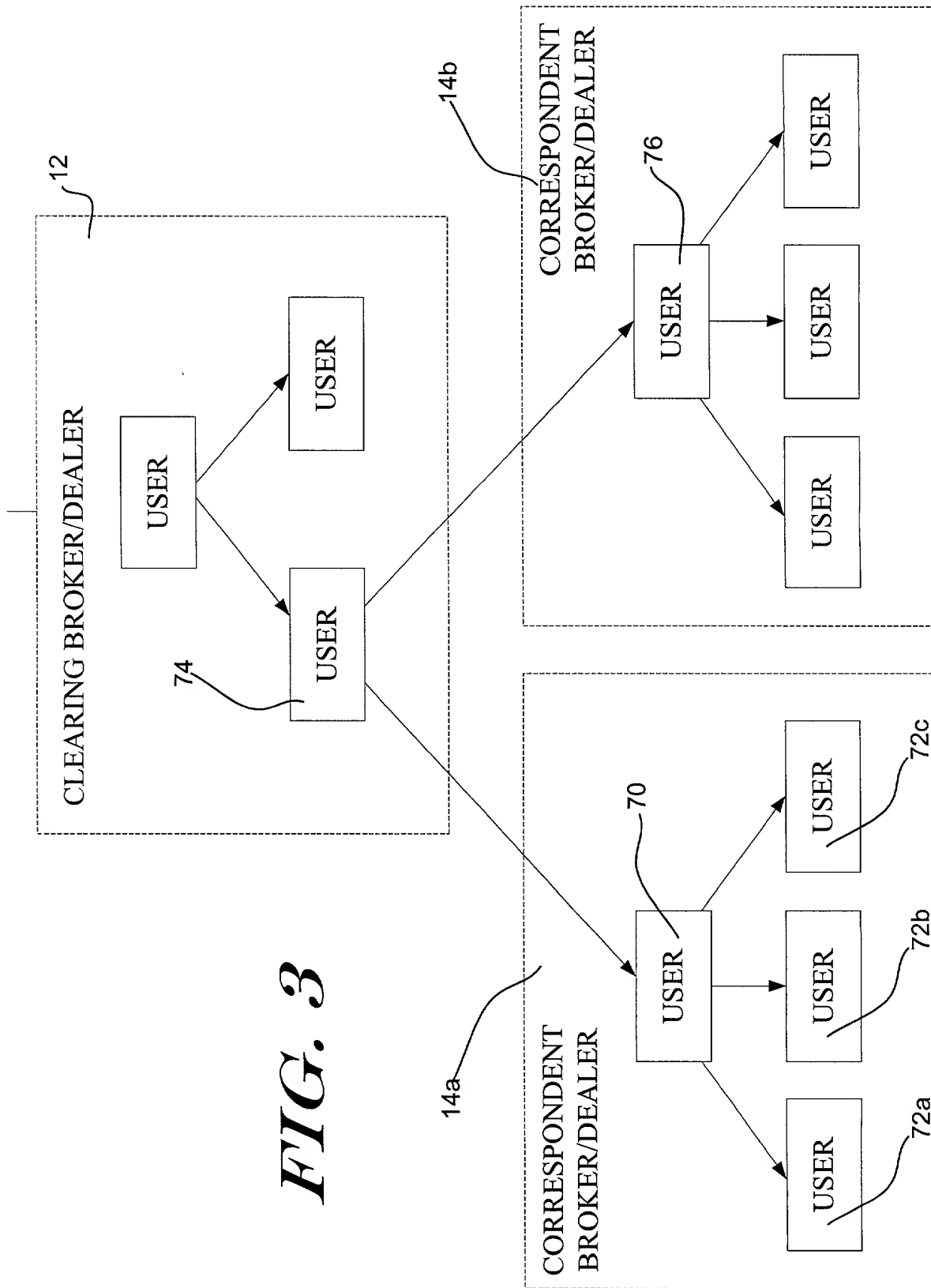


FIG. 1





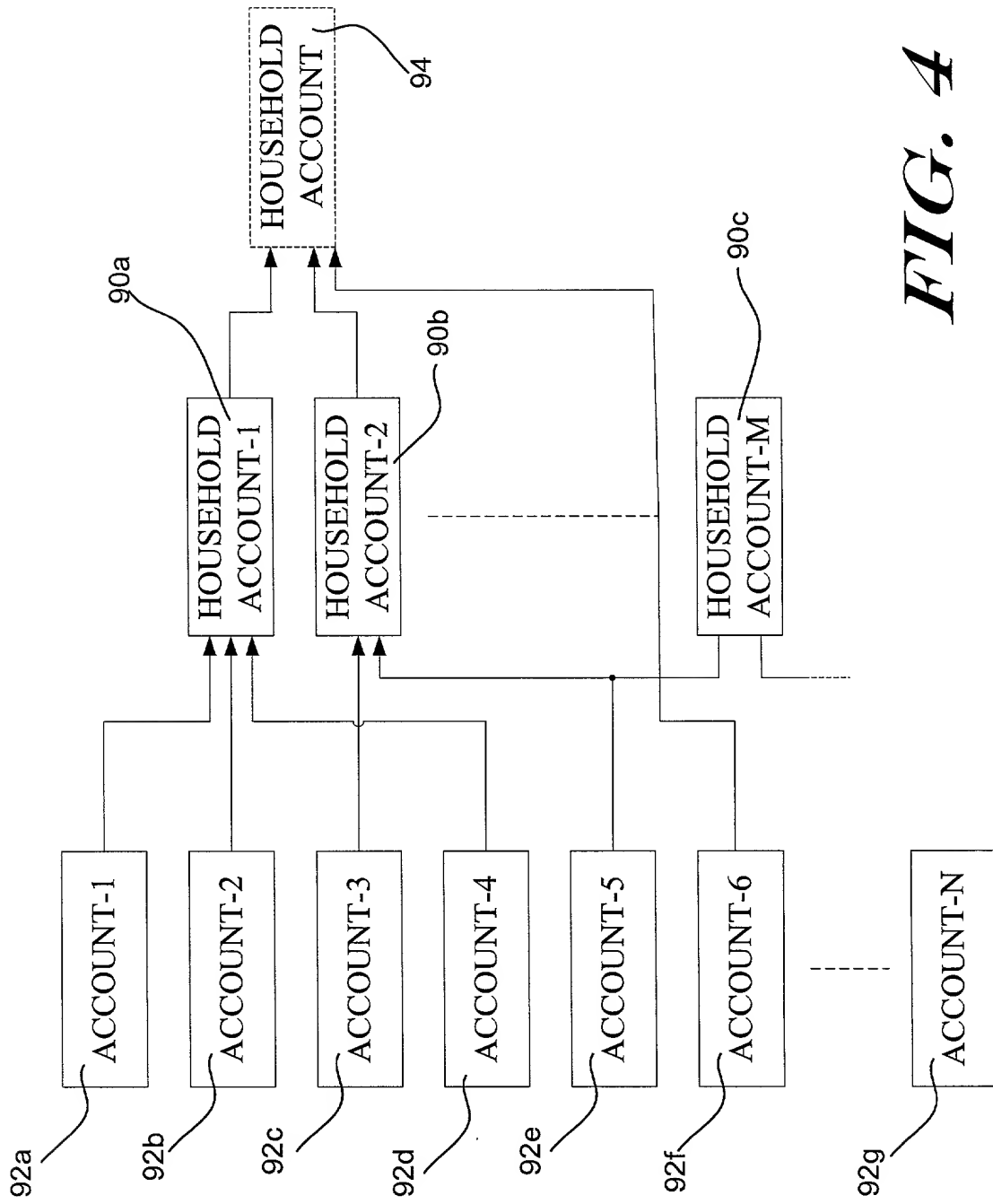


FIG. 4

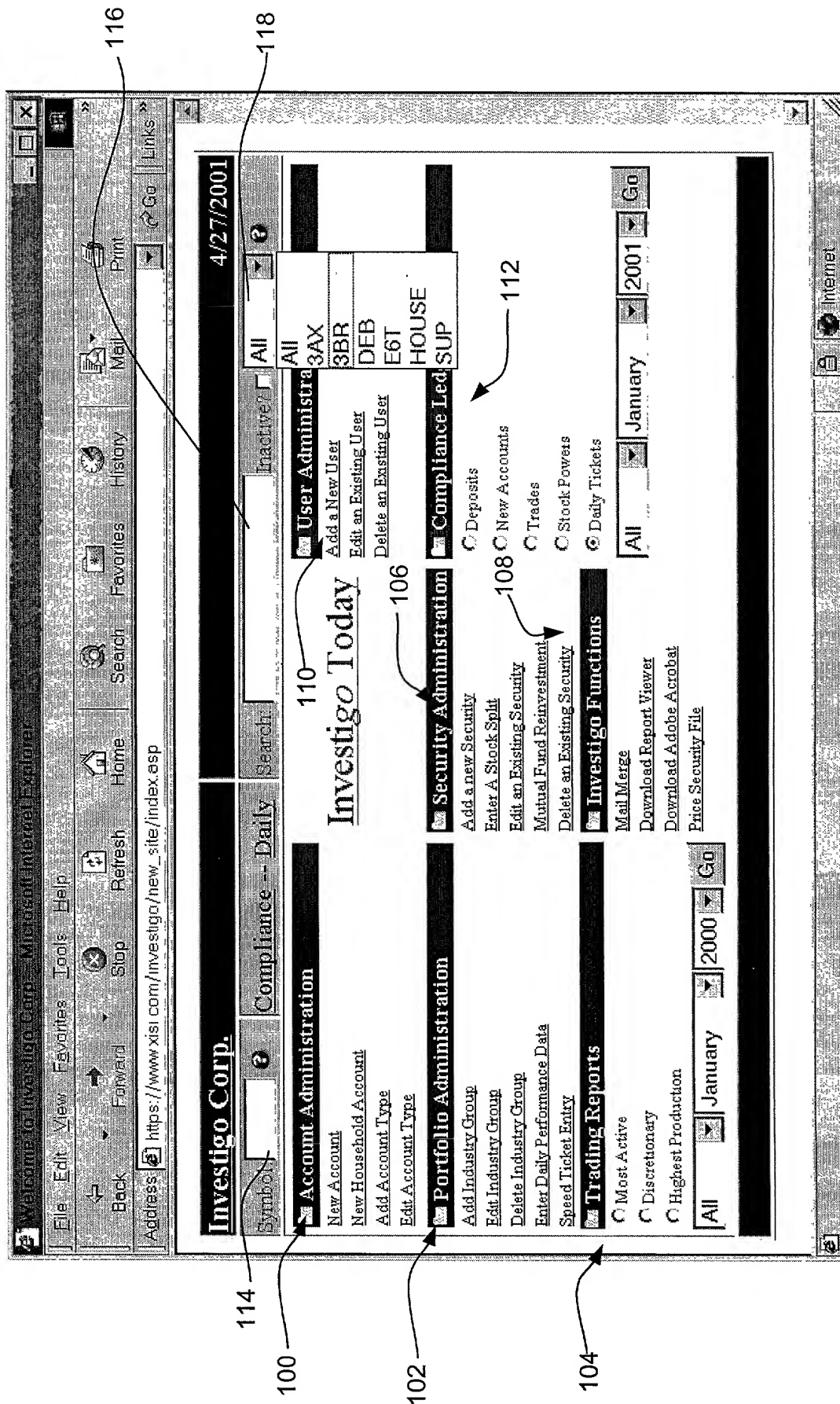


FIG. 5

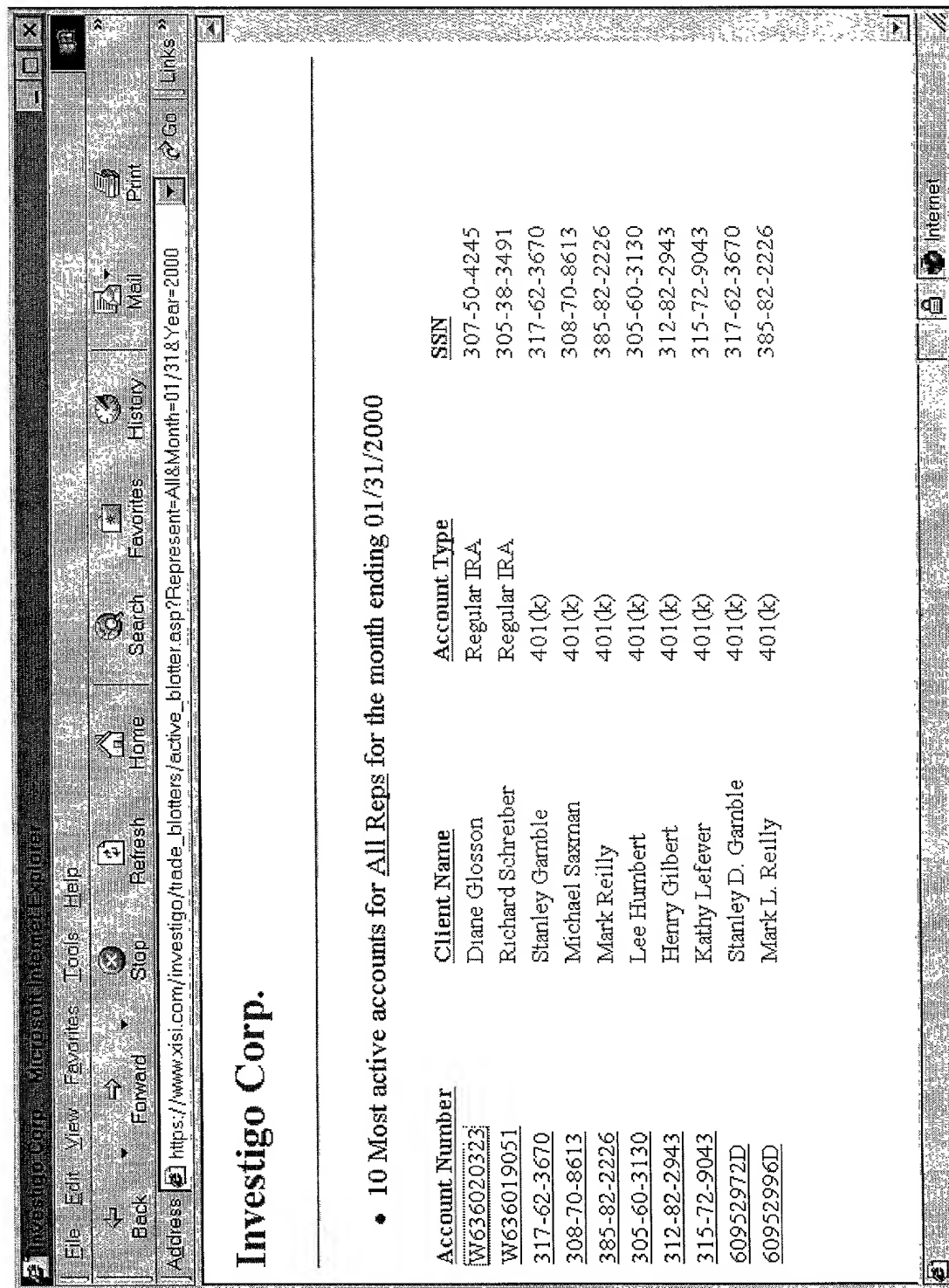


FIG. 6

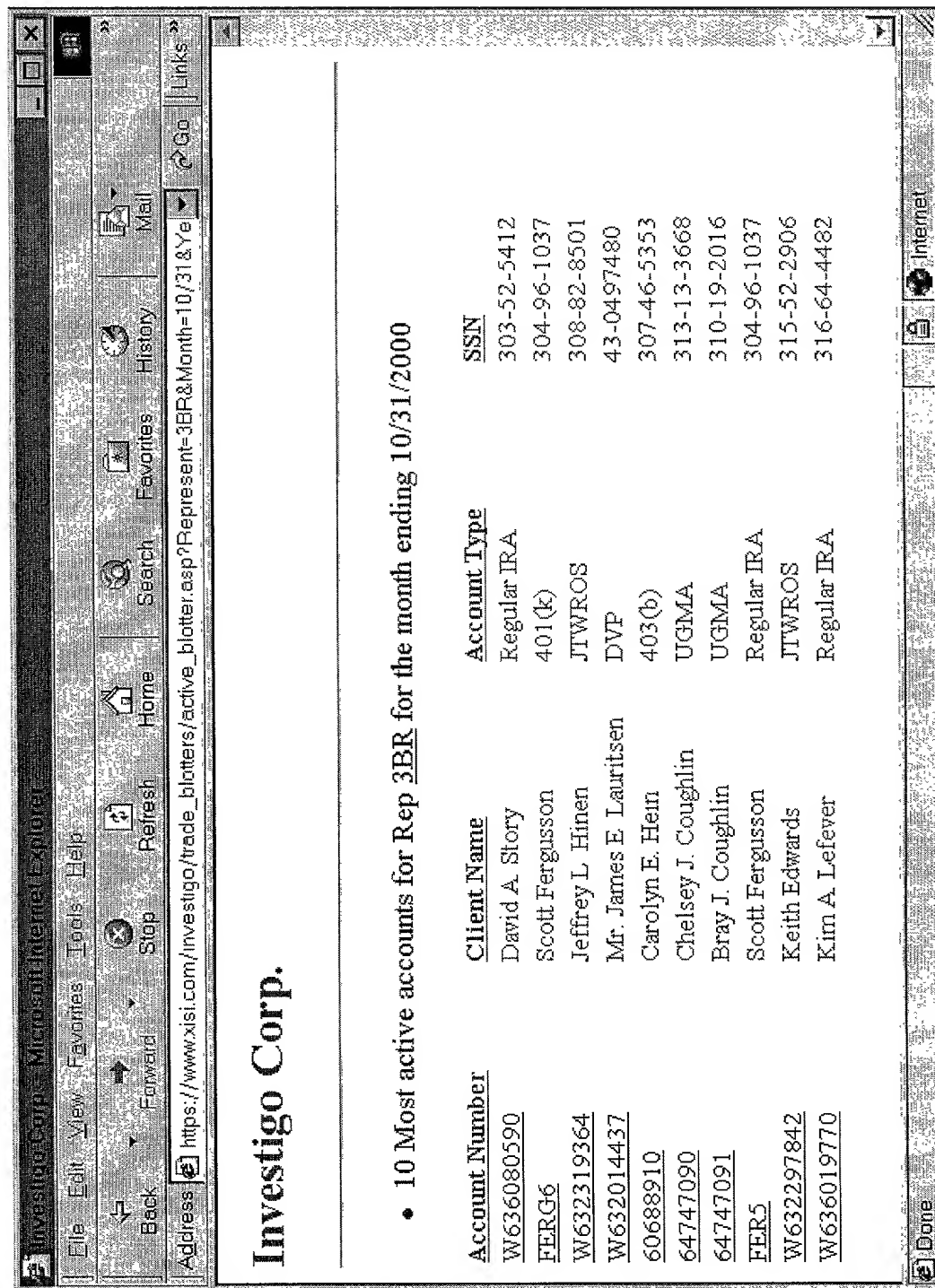


FIG. 7

FIG. 8

Investigo Corp. Check Deposit ledger - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Print

Address <https://www.xisi.com/investigo/deposits/ledger.asp?LedgerType=Month&Rep=All&Month=10/31&Year> Go Links

Investigo Corp.

- Check Deposit ledger for All for the Month ending 10/31/2000.

Date Received	Account Number	Account Name	Amount	Deposit Date	Payable To	Represent
10/1/2000	C017254-0D	Kerth D. Class	-900	10/1/2000	Kerth D. Class	3AX
10/2/2000	64923193	Shawn D. Ferro	150	10/2/2000	fund	3BR
10/2/2000	W636019127	Shirley Clem	-540	10/2/2000	Shirley Clem	3AX
10/2/2000	W636019127	Shirley Clem	-60	10/2/2000	Shirley Clem	3AX
10/2/2000	65099328	MaryJo LaVine	510	10/2/2000	Capital Bank & Trust	3AX
10/2/2000	65018634	Kelly M. LaVine	-10	10/2/2000	Kelly M. LaVine	3AX
10/2/2000	64955885	Patricia A. Vilches	-10	10/2/2000	Patricia A. Vilches	3AX
10/2/2000	64955885	Patricia A. Vilches	2010	10/2/2000	Capital Bank & Trust	3AX
10/2/2000	65131336	Manano R. Vilches	-10	10/2/2000	Manano R. Vilches	3AX
10/2/2000	65131336	Manano R. Vilches	2010	10/2/2000	Capital Bank & Trust	3AX
10/2/2000	W636019234	Coryll Muller	-500	10/2/2000	Coryll Muller	3AX
10/2/2000	W636019085	Ross Leakey	-520	10/2/2000	Ross Leakey	3AX
10/2/2000	64924065	Elizabeth E. Neuok	-300	10/2/2000	Elizabeth E. Neuok	3BR
10/2/2000	64923194	Heather A. Ferro	125	10/2/2000	fund	3BR
10/2/2000	W636019697	Gloria Weiler	-100	10/2/2000	Gloria Weiler	3AX
10/2/2000	W636020505	Dean Mansdorfer	-350	10/2/2000	Dean Mansdorfer	3AX
10/2/2000	W636020513	Nyla Mansdorfer	-350	10/2/2000	Nyla Mansdorfer	3AX
10/2/2000	W636020562	Frank Erwin	-600	10/2/2000	Frank Erwin	3AX
10/2/2000	W636058851	David B. Sensenich	-400	10/2/2000	David B. Sensenich	3AX
10/2/2000	W636081952	Gary S. Hall	-350	10/2/2000	Gary S. Hall	3AX
10/2/2000	W638026435	Dorothy M. Neuenschwander	-1500	10/2/2000	Dorothy M. Neuenschwander	3AX
10/2/2000	W638030924	Alfred E. Boyer	-223.7	10/2/2000	Alfred E. Boyer	3AX
10/2/2000	W636019622	Sophie Leakey	-200	10/2/2000	Sophie Leakey	3AX
10/2/2000	64831677	Dolons McCormuck	-200	10/2/2000	Dolons McCormuck	3AX
10/2/2000	W632293528	Ellen Jackson	-5000	10/2/2000	Ellen Jackson	3AX
9/30/2000	W632628913	William A. Oliver	1000.00	10/2/2000	Schroder & Co	E6T
10/2/2000	W632669420	Robert W. Schnapke	2000.00	10/2/2000	Schroder & Co	3AX
10/2/2000	W632292801	Mazie Welbaum	-249.36	10/2/2000	Mazie Welbaum	3AX

Done Internet

FIG. 8

Trade Date	Settle Date	Buy / Sell	Account Number	Product	Shares	\$/UNIT	Buy Price	Buy Cost	Buy Commission
10/1/2000	10/4/2000	Buy	W632293452	0017254-00	60327.84	Unsold	1	0	0
10/2/2000	10/5/2000	Buy	W632689420	IALAX	3603.604	Solicited	15.54	56000	0
10/2/2000	10/5/2000	Buy	W632689420	IDGSX	1889.708	Solicited	13.02	22000	0
10/2/2000	10/5/2000	Buy	W632689420	RCVAX	1766.44	Solicited	17.08	30000	0
10/2/2000	10/5/2000	Buy	W632687689	LEIFX	0.194	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W632686626	RCVAX	51.317	Solicited	16.58	0	0
10/2/2000	10/5/2000	Buy	W632686555	RCVBX	11.881	Solicited	16.61	0	0
10/2/2000	10/5/2000	Buy	W632686893	RCVGX	7.324	Solicited	16.57	0	0
10/2/2000	10/5/2000	Buy	W632541066	BETM	300	Solicited	0.4375	187.6	50
10/2/2000	10/5/2000	Buy	W632498077	LEIBX	0.135	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W632467411	QOQ	70	Solicited	88.5	6333.44	132.09
10/2/2000	10/5/2000	Buy	W632448502	RCVGX	16.242	Solicited	16.57	0	0
10/2/2000	10/5/2000	Buy	W636047872	RCVBX	47.063	Solicited	16.57	0	0
10/2/2000	10/5/2000	Buy	W632367369	RCVBX	21.917	Solicited	16.61	0	0
10/2/2000	10/5/2000	Buy	W632689420	OPPAX	485.784	Solicited	69.99	34000	0
10/2/2000	10/5/2000	Buy	W632356845	LEIFX	1.342	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W632322475	RCVAX	40.796	Solicited	16.58	0	0
10/2/2000	10/5/2000	Buy	W632312666	AHITX	3.706	Solicited	12.97	0	0
10/2/2000	10/5/2000	Buy	W632306868	NABGX	13.93	Solicited	15.04	0	0
10/2/2000	10/5/2000	Buy	W632297412	LEIBX	0.292	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W632297347	LEIBX	0.576	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W632297107	KO	0.62	Solicited	54.937	34.22	0
10/2/2000	10/5/2000	Buy	W632295523	FDBBX	17.202	Solicited	6.79	0	0

Fig. 9.

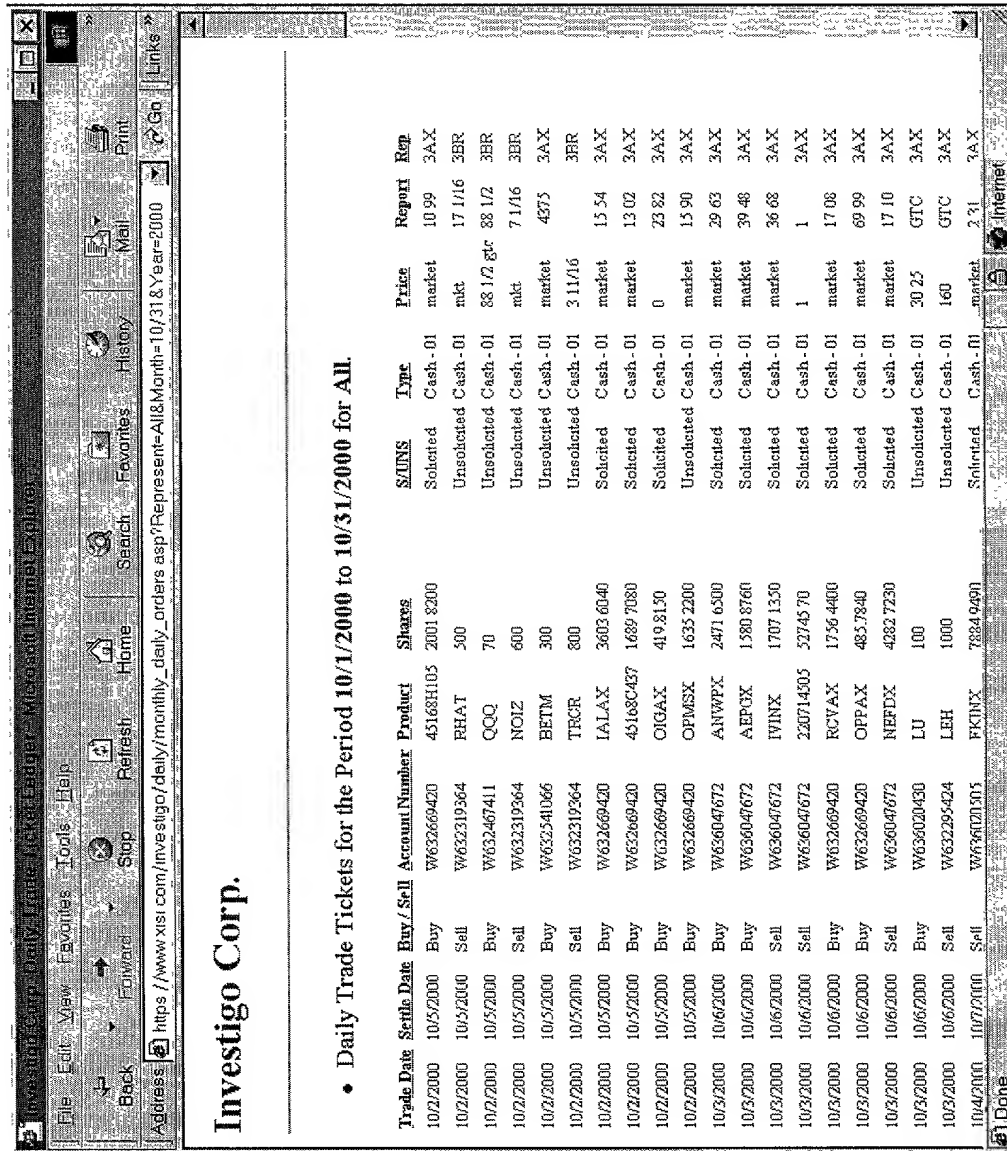


FIG. 10

FIG. 11

Generate Mail Merge - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Print

Address https://www.xisi.com/investigo/mail_merge/merge_beta.asp Go Links

Symbol: Go Compliance -- Daily Account Number or Name: Lookup

Mail Merge

Create a custom mail merge file by defining the characteristics of the accounts:

By Account Registration

Representative
Account Type
Report Frequency
State of Residence

By Investment Objective

<input type="checkbox"/> LT Capital Appreciation	<u>Stocks</u>	<input type="button" value="Don't Search"/> <input type="button" value="v"/>
<input type="checkbox"/> Short Term Trading	<u>Bonds</u>	<input type="button" value="Don't Search"/> <input type="button" value="v"/>
<input checked="" type="checkbox"/> Business Man's Risk	<u>Options</u>	<input type="button" value="Don't Search"/> <input type="button" value="v"/>
<input type="checkbox"/> Income	<u>Futures</u>	<input type="button" value="Don't Search"/> <input type="button" value="v"/>
<input type="checkbox"/> Safety of Principal		
<input type="checkbox"/> Tax Exempt Income		

By Personal Interests

<input checked="" type="checkbox"/> <u>Golf</u>	<input type="checkbox"/> <u>Tennis</u>
---	--

By Holdings

<input checked="" type="checkbox"/> <u>Owns Mutual Funds</u>	<input type="checkbox"/> <u>Owns Long Term Care Policies</u>
<input type="checkbox"/> <u>Owns Life Insurance</u>	<input type="checkbox"/> <u>Owns Variable Annuities</u>

Symbol

Done Internet

150

152

154

156

158

FIG. 11

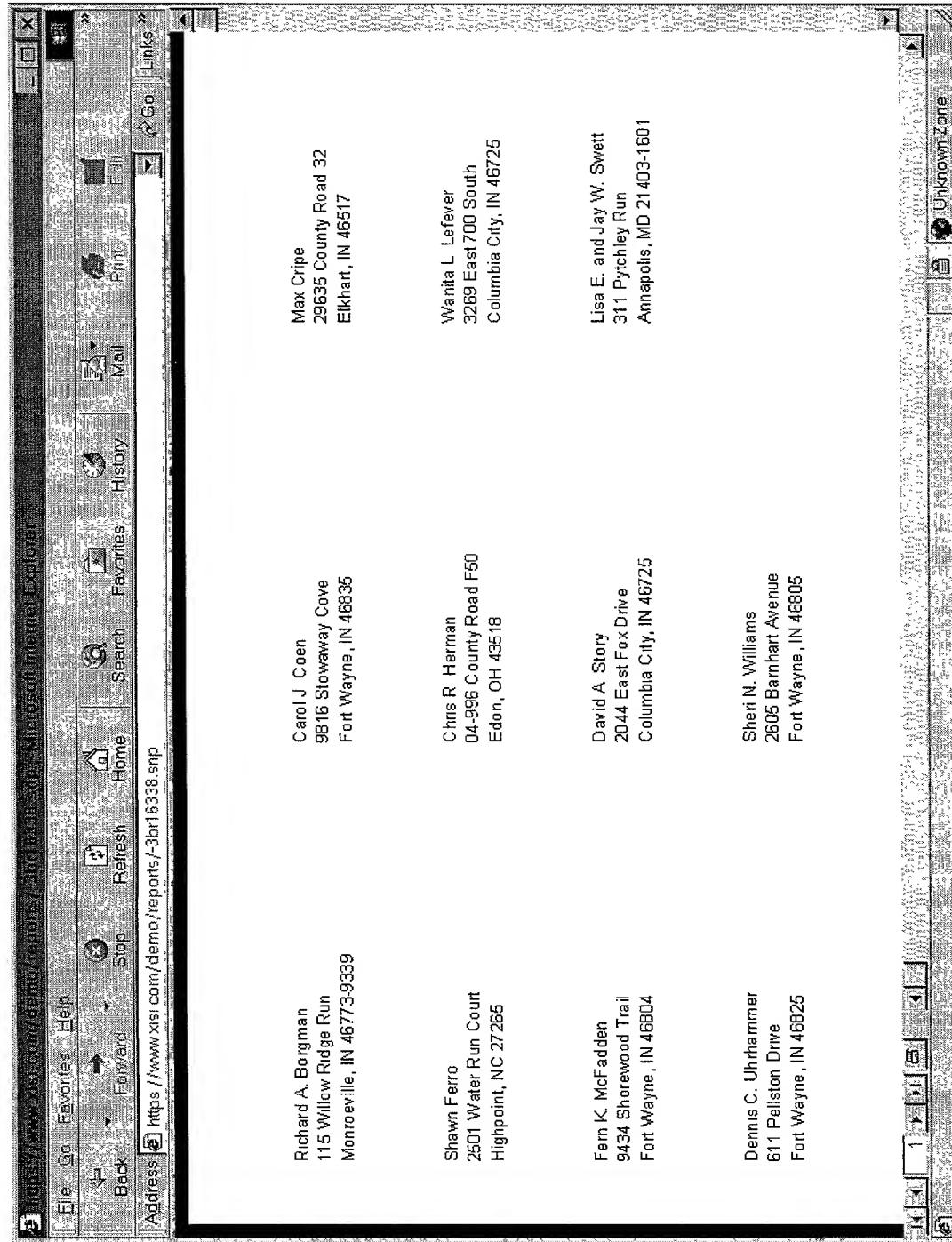
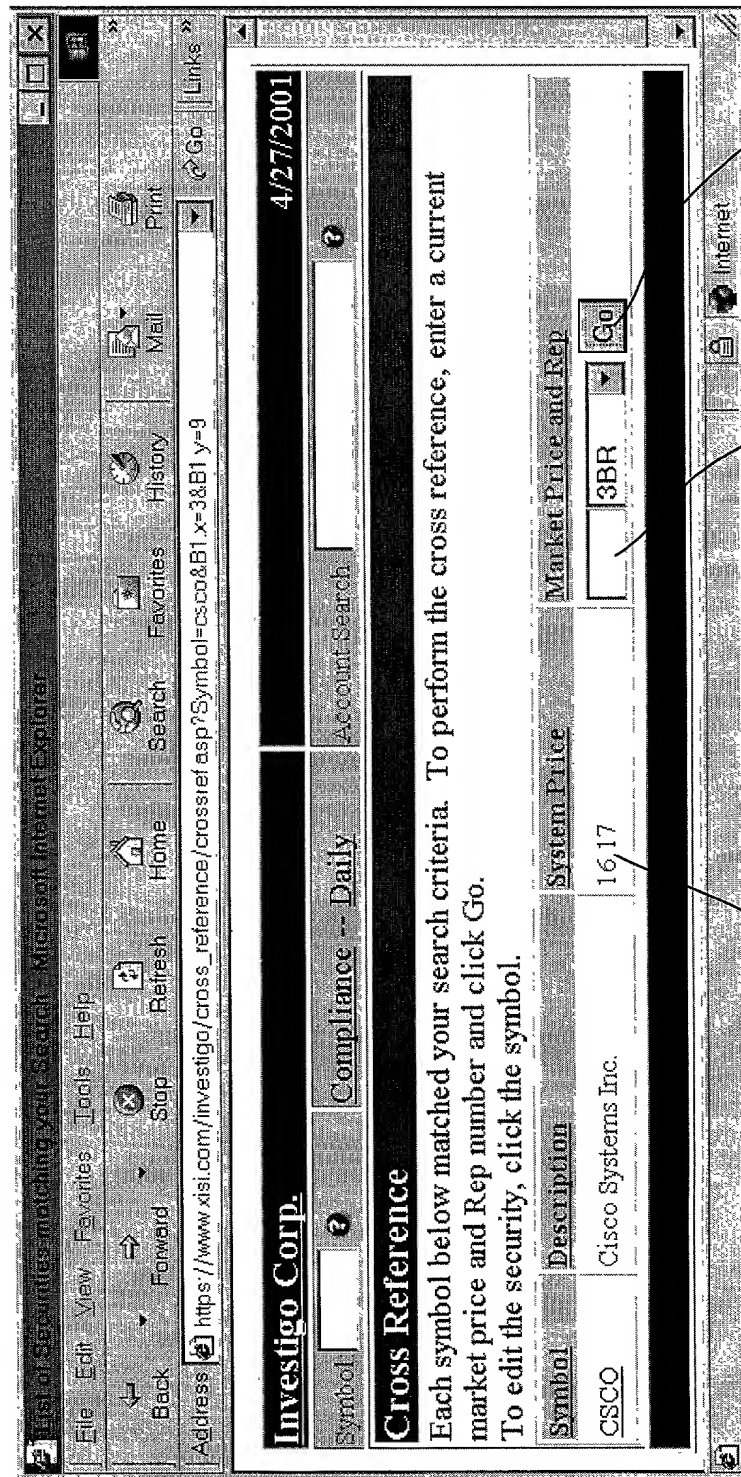


FIG. 12



164

160

FIG. 13

162

180

Open Positions - Microsoft Internet Explorer

Address https://www.xsl.com/investigo/cross_reference/cross-refperform.asp?NewPrice=&Broker=3BR&B1=Go&Symbol=

Investigo Corp. 4/27/2001

Symbol Compliance -- Daily Account Search

Cross Reference for Cisco Systems Inc.

List of Open Positions for CSCO, Currently Trading at 16.17 for Representative (3BR). To go to a specific account click on the Account Number. To sell a specific position, click on the Shares.

Cisco Systems Inc.-- (CSCO) at 16.17

[Mail Merge](#)

Account Number	Last Name	Symbol	Shares	Open Date	Avg Price	Total Cost	P/L	Contact #s
W632297834	Boral	CSCO	100	7/12/1999	33.8135	\$3,381.35	(\$1,764.35)	W 219-825-9457 H 219-825-5520
W632298345	Edwards	CSCO	195	3/24/2000	69.8983	\$13,630.16	(\$10,477.01)	W H 219-635-2496
W636098170	Felber	CSCO	100	1/5/2000	50.6402	\$5,064.02	(\$3,447.02)	W H W 800-392-0844e272 H 770-967-4053
W632297107	Kernen	CSCO	103	4/18/2000	68.1096	\$7,015.29	(\$5,349.78)	W 219-357-6557 H 219-357-5252
W632392841	Marques	CSCO	600	2/4/1999	29.6721	\$17,803.26	(\$8,101.26)	W 219-925-4939 H 219-837-6070
W632488060	Mason	CSCO	238	10/7/1999	47.0749	\$11,203.83	(\$7,355.37)	W 219-425-5792 H 219-497-0740
W632494886	McClain	CSCO	200	7/15/1999	33.3484	\$6,669.68	(\$3,435.68)	W 270-251-1263 H 270-554-4701
W632520656	Rienks	CSCO	110	7/21/1999	46.9189	\$5,161.08	(\$3,382.38)	W 270-251-1109 H 270-554-5384
W632645099	Silva	CSCO	20	3/23/2000	79.883	\$1,597.66	(\$1,274.26)	

Done Internet

FIG. 14

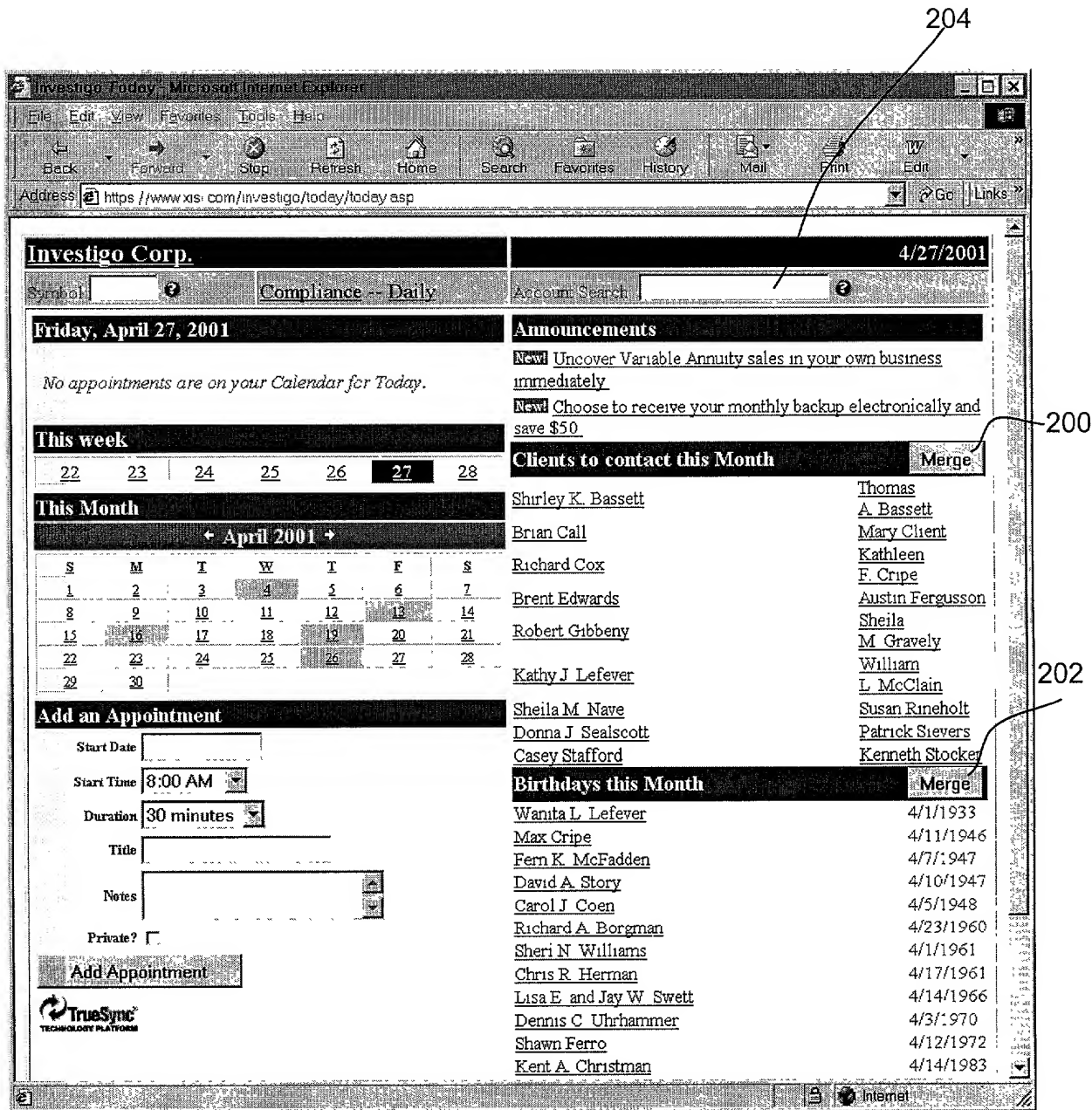
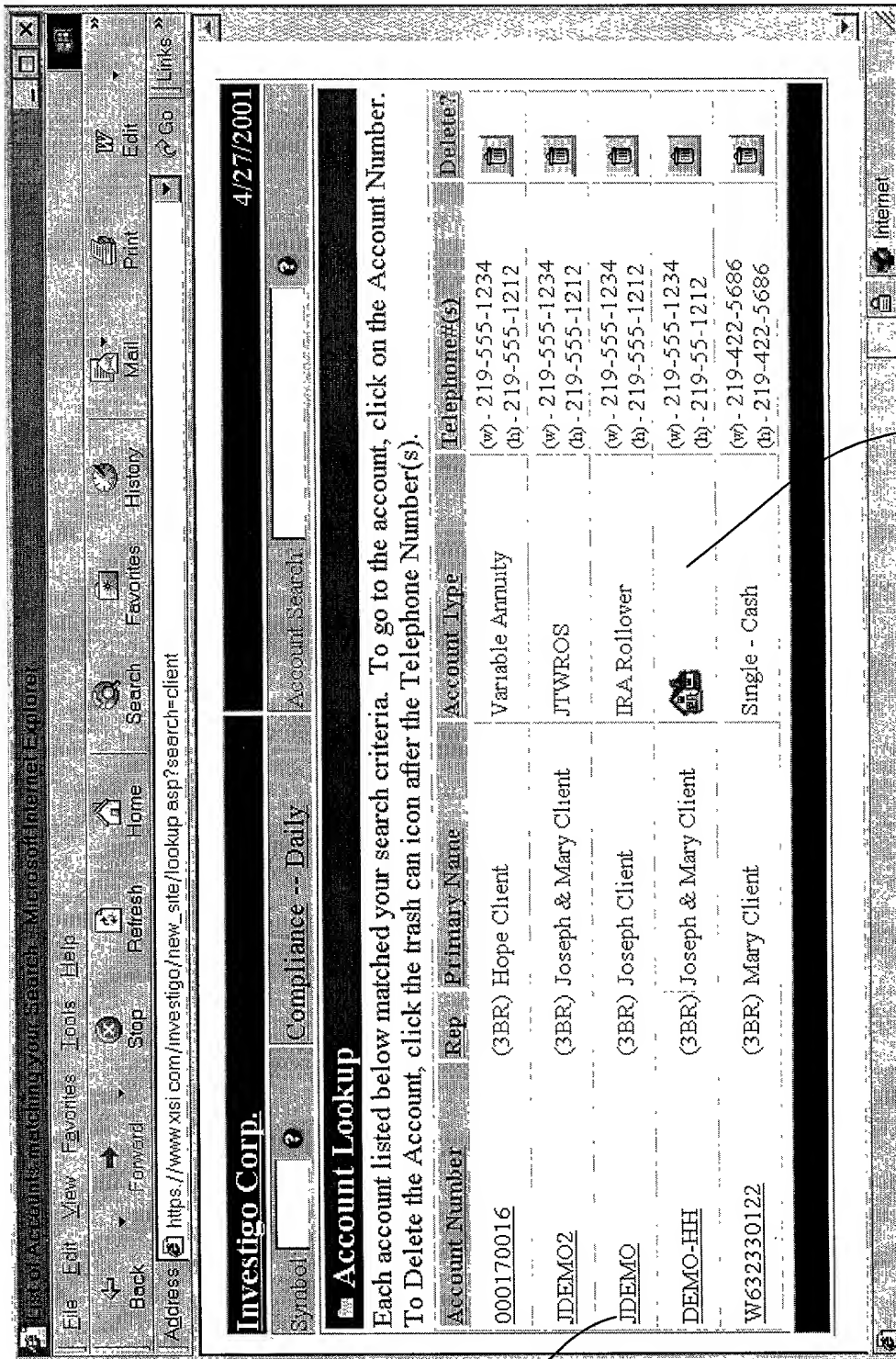


FIG. 15



212

210

FIG. 16

FIG. 17

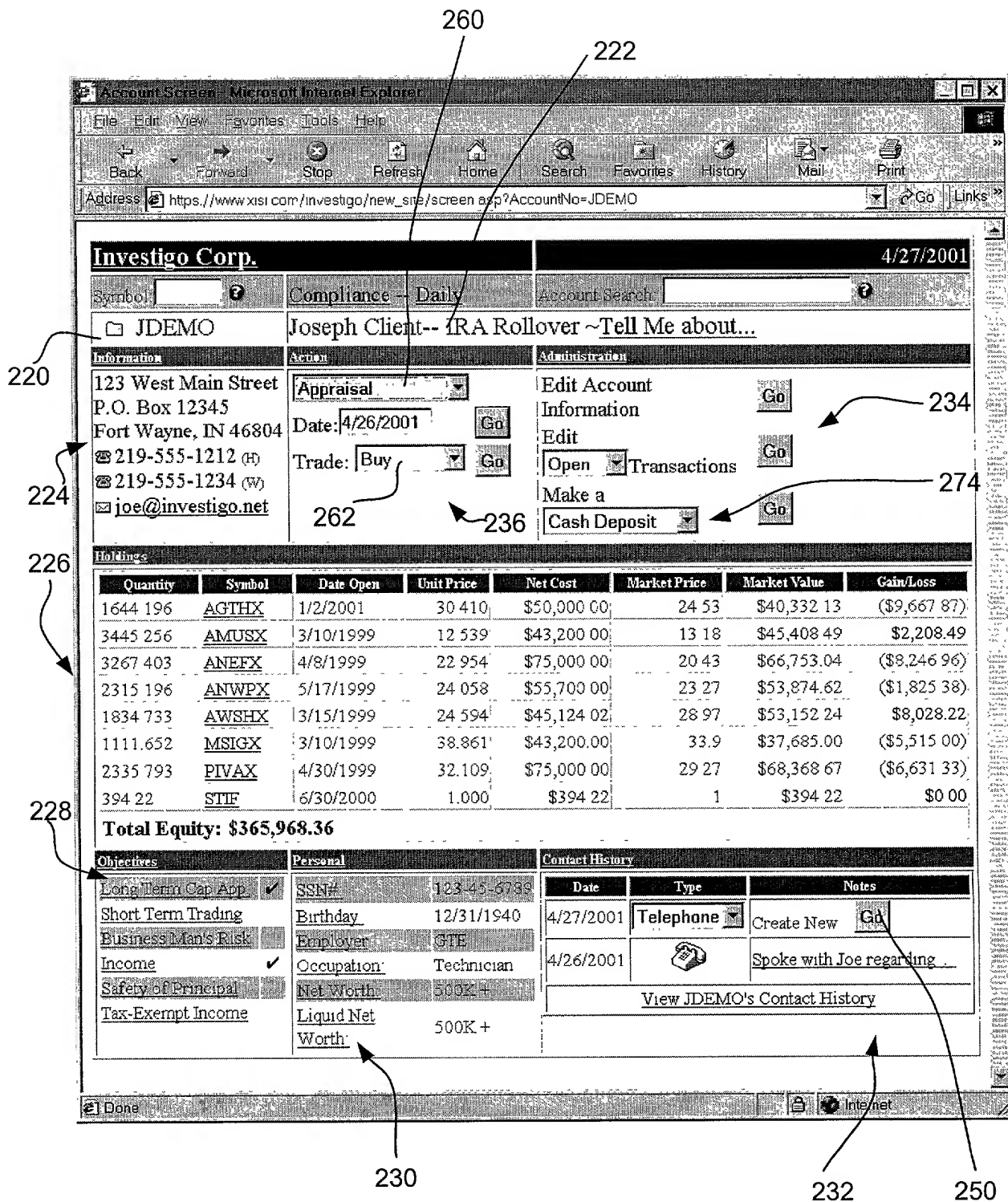


FIG. 17

260

Account Screen - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Print

Address: https://www.xisi.com/investigo/new_site/screen.asp?AccountNo=JDEMO

Investigo Corp. 4/27/2001

Symbol: Compliance -- **Daily** Account Search:

JDEMO Joseph Client-- IRA Rollover ~Tell Me about...

Information	Action	Administration
123 West Main Street P.O. Box 12345 Fort Wayne, IN 46804 ☎ 219-555-1212 (H) ☎ 219-555-1234 (W) ☑ joe@investigo.net	<input checked="" type="checkbox"/> Realized G/L <input type="checkbox"/> Appraisal <input type="checkbox"/> Appraisal Graph <input type="checkbox"/> Asset Allocation <input type="checkbox"/> Asset Alloc. Graph <input type="checkbox"/> Realized G/L <input type="checkbox"/> Performance	Edit Account Information <input type="button" value="Go"/> Edit <input type="button" value="Go"/> <input type="button" value="Open"/> Transactions <input type="button" value="Go"/> Make a Cash Deposit <input type="button" value="Go"/>

Holdings

Quantity	Symbol	Date Open	Unit Price	Net Cost	Market Price	Market Value	Gain/Loss
1644 196	AGTHX	1/2/2001	30 410	\$50,000 00	24 53	\$40,332 13	(\$9,667 87)
3445 256	AMUSX	3/10/1999	12 539	\$43,200 00	13 18	\$45,408 49	\$2,208 49
3267 403	ANEFX	4/8/1999	22 954	\$75,000 00	20 43	\$66,753 04	(\$8,246 96)
2315 196	ANWPX	5/17/1999	24 058	\$55,700 00	23 27	\$53,874 62	(\$1,825 38)
1834 733	AWSHX	3/15/1999	24 594	\$45,124 02	28 97	\$53,152 24	\$8,028 22
1111 652	MSIGX	3/10/1999	38 861	\$43,200 00	33 9	\$37,685 00	(\$5,515 00)
2335 793	PIVAX	4/30/1999	32 109	\$75,000 00	29 27	\$68,368 67	(\$6,631 33)
394 22	STIF	6/30/2000	1 000	\$394 22	1	\$394 22	\$0.00

Total Equity: \$365,968.36

Objectives	Personal	Contact History
Long Term Cap App. <input checked="" type="checkbox"/>	SSN# 123-45-6789	Date Type Notes
Short Term Trading	Birthday 12/31/1940	4/27/2001 Telephone Create New <input type="button" value="Go"/>
Business Man's Risk	Employer GTE	4/26/2001 Spoke with Joe regarding
Income <input checked="" type="checkbox"/>	Occupation Technician	
Safety of Principal	Net Worth 500K +	
Tax-Exempt Income	Liquid Net 500K +	

[View JDEMO's Contact History](#)

Done Internet

FIG. 18

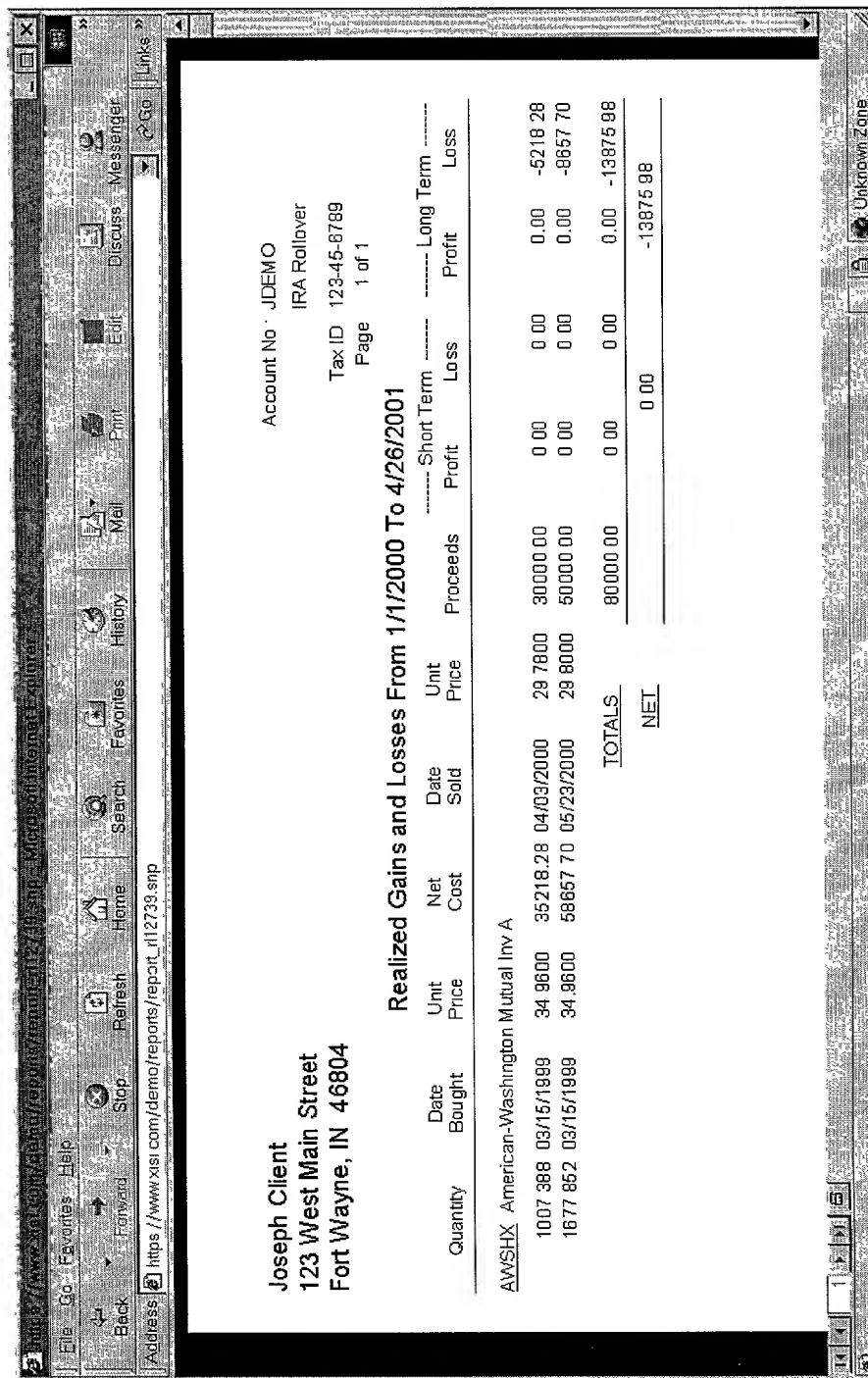
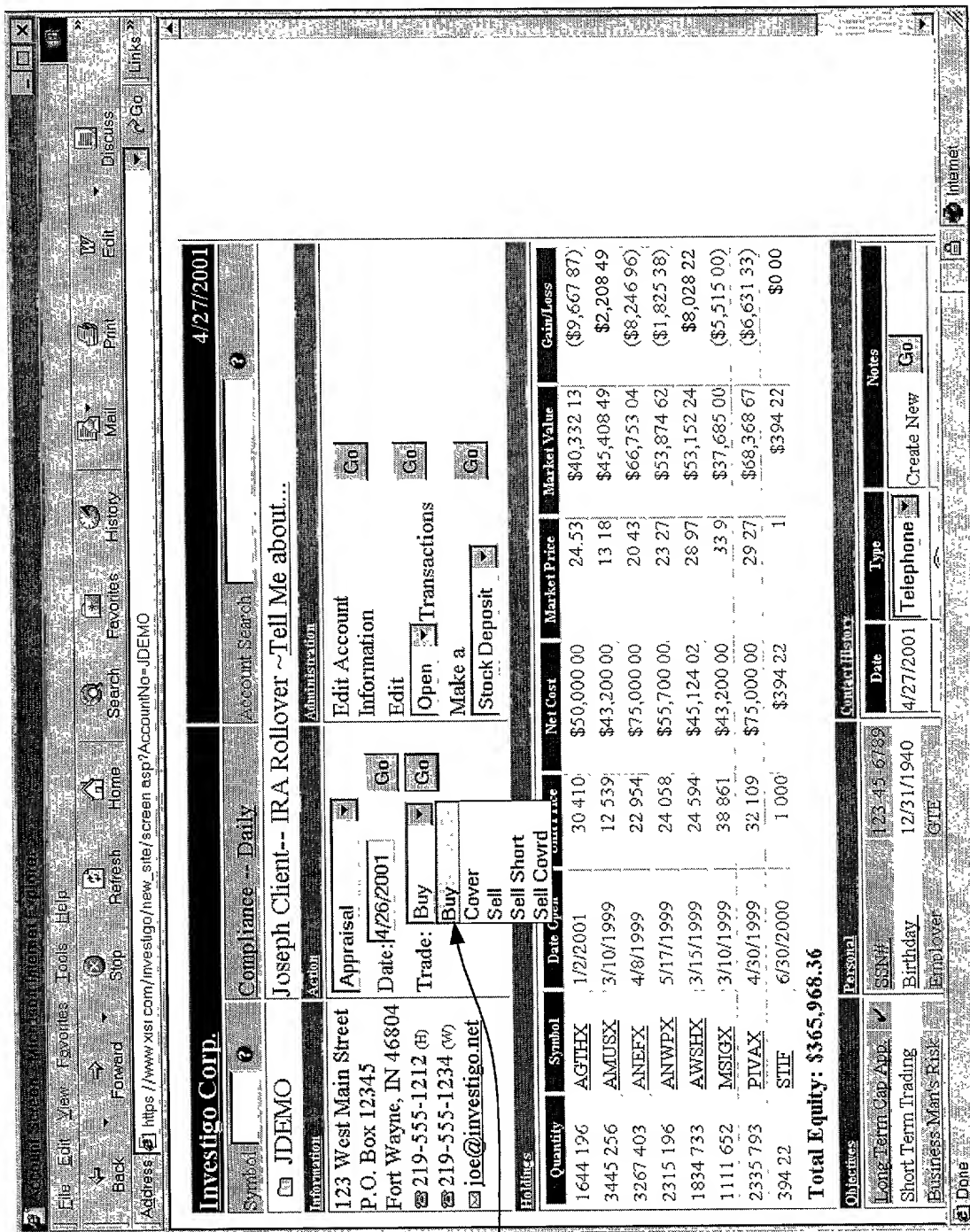


FIG. 19

**FIG. 20**

Investigo Corp. 4/27/2001

Symbol: Compliance -- Daily Account Number or Name: ?

Trade Entry

Joseph Client-- IRA Rollover

JDEMO

Trade Date	4/27/2001	Trade Type	Buy
Amount	1000	Symbol or CUSIP	ibm
Price	33.00	Solicitation Status	Solicited
Commission	600	Location of Shares	Long
Fees and/or charges	65.00	Reinvest	None or N/A
Sales charge	0		
Cost or Proceeds Override			
Order Entered By	3hr		
Notes	Recommended to client		

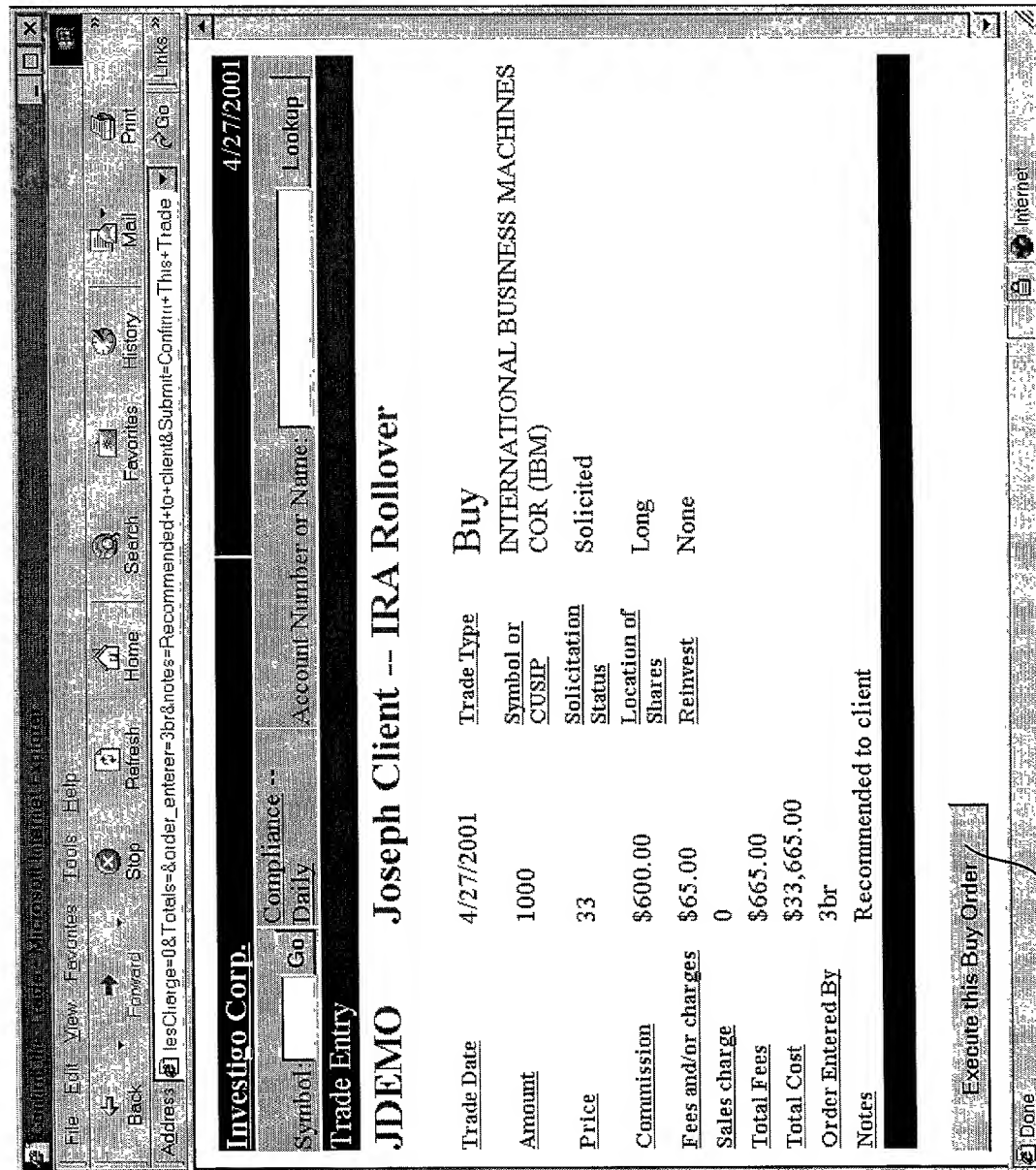
Confirm This Trade

Done Internet

270

FIG. 21

268



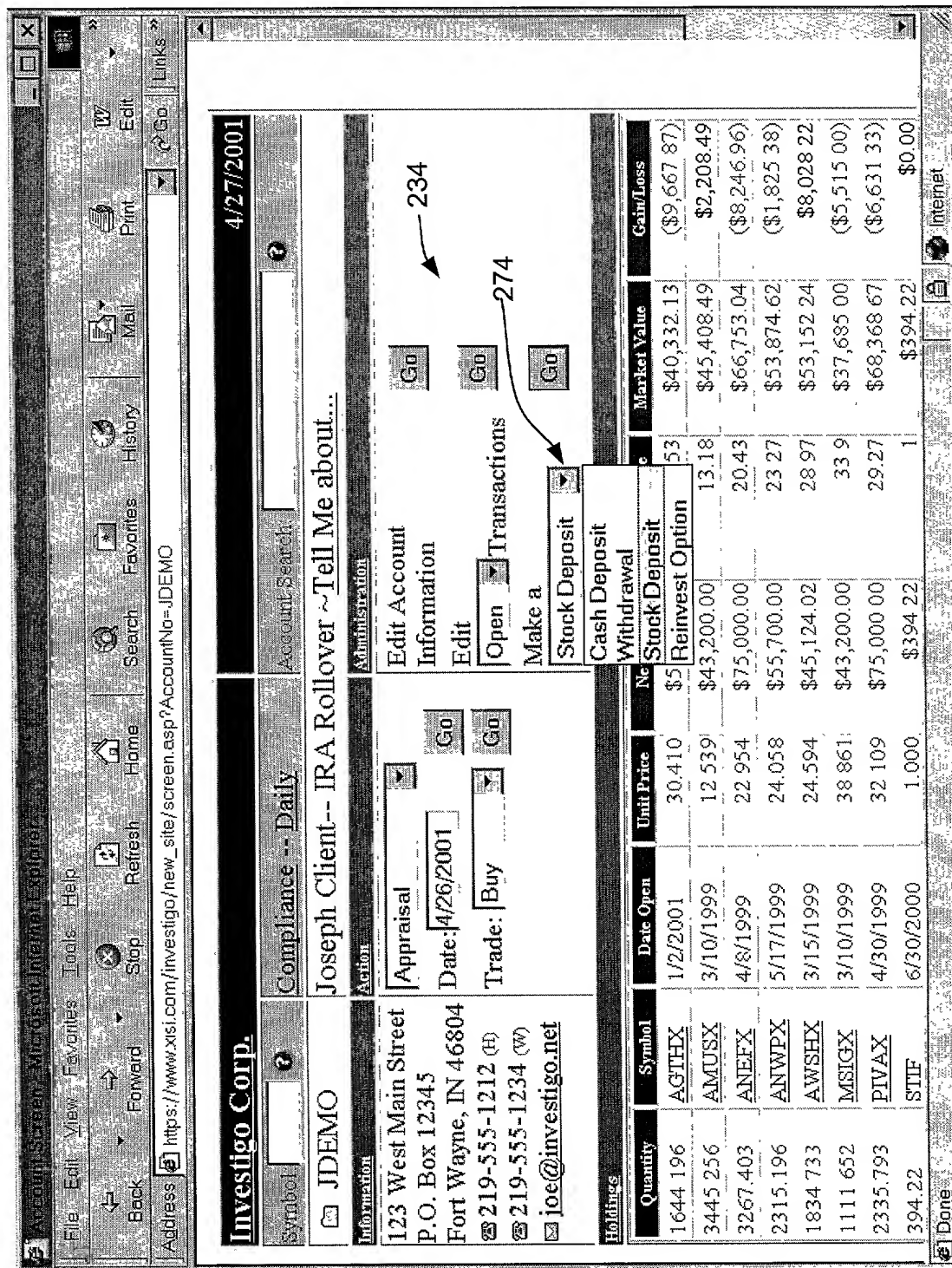


FIG. 23

Stock Power Entry Form - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Print Edit

Address: https://www.xisi.com/investigo/stock_power/stock_power.asp?AccountNo=JDEMO

Links

Investigo Corp.

4/27/2001

Symbol: Compliance -- Daily Account Number or Name: Lookup

Go

Stock Power Entry Form

Enter the information required below and click the submit button to generate a printable stock power form.

Receiving Information

Account Number JDEMO

Date of Entry 4/27/2001

Security Information

Number of shares 15

Symbol ibm

Stock type Common

Certificate number(s) 12232293

Generate Stock Power

FIG. 24

1003250-0277660

Irrevocable Stock or Bond Power - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Refresh Home Search Favorites History Mail Print

Address https://www.xisi.com/invest/go/stock_power/stock_power_confirm.asp?account_no=JDEMO&e_date=4%2F27%2F Go Links

IRREVOCABLE STOCK OR BOND POWER

FOR VALUE RECEIVED, the undersigned does (do) hereby sell, assign, and transfer to

Joseph Client SSN#: 123-45-6789
123 West Main Street
Fort Wayne, IN 46804

If Stock, Complete This Portion

15 shares of the Common stock of INTERNATIONAL BUSINESS MACHINES COR (ibm)
(
(represented by Certificate(s) No(s) 12232293 inclusive,
(
(Standing in the name of the undersigned on the books of said Company.

If Bonds, Complete This Portion

(_____ bonds of _____
(
(in the principal amount of \$ _____ No(s) _____ inclusive,
(
(standing in the name of the undersigned on the books of said company.

The undersigned does (do) hereby irrevocably constitute and appoint _____ attorney to transfer the said stock or bond(s), as the case may be, on the books of said Company, with full power of substitution in the premises.

Dated: 4/27/2001

IMPORTANT-READ CAREFULLY
The signature(s) to this Power must correspond with the name(s) as written upon the face of the certificate(s) or bond(s) in every particular without alteration or enlargement or any change whatever. Signature guarantee should be made by a member or member organization of the New York

Joseph Client

SIGNATURE GUARANTEED

Done Internet

FIG. 25

FIG. 26

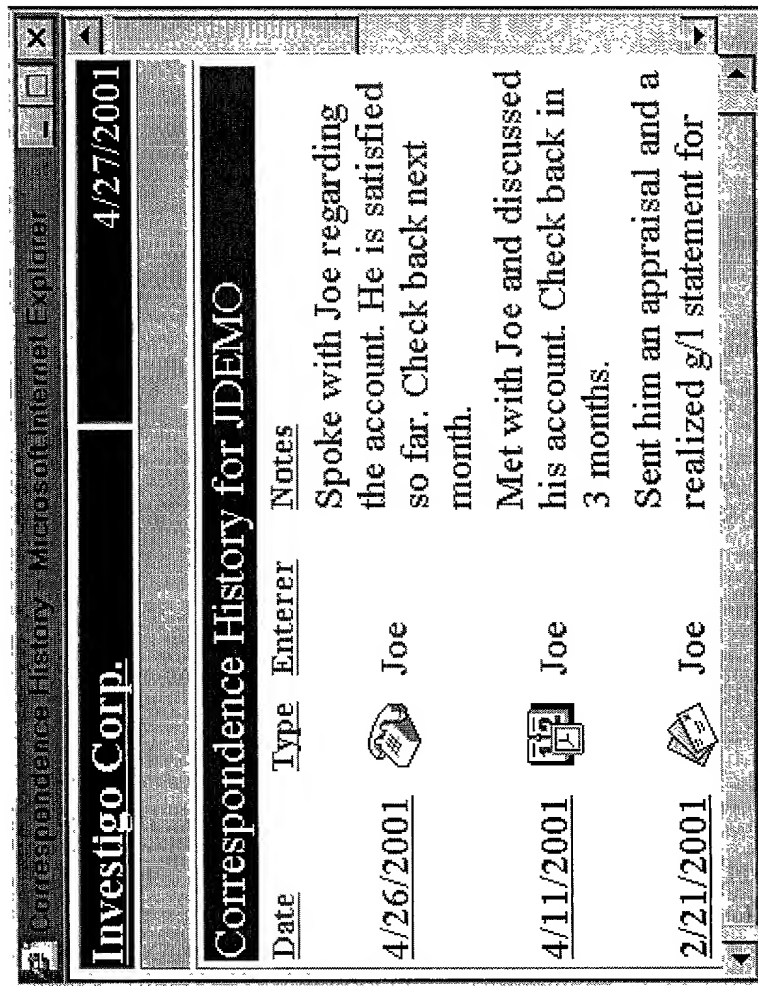


FIG. 27

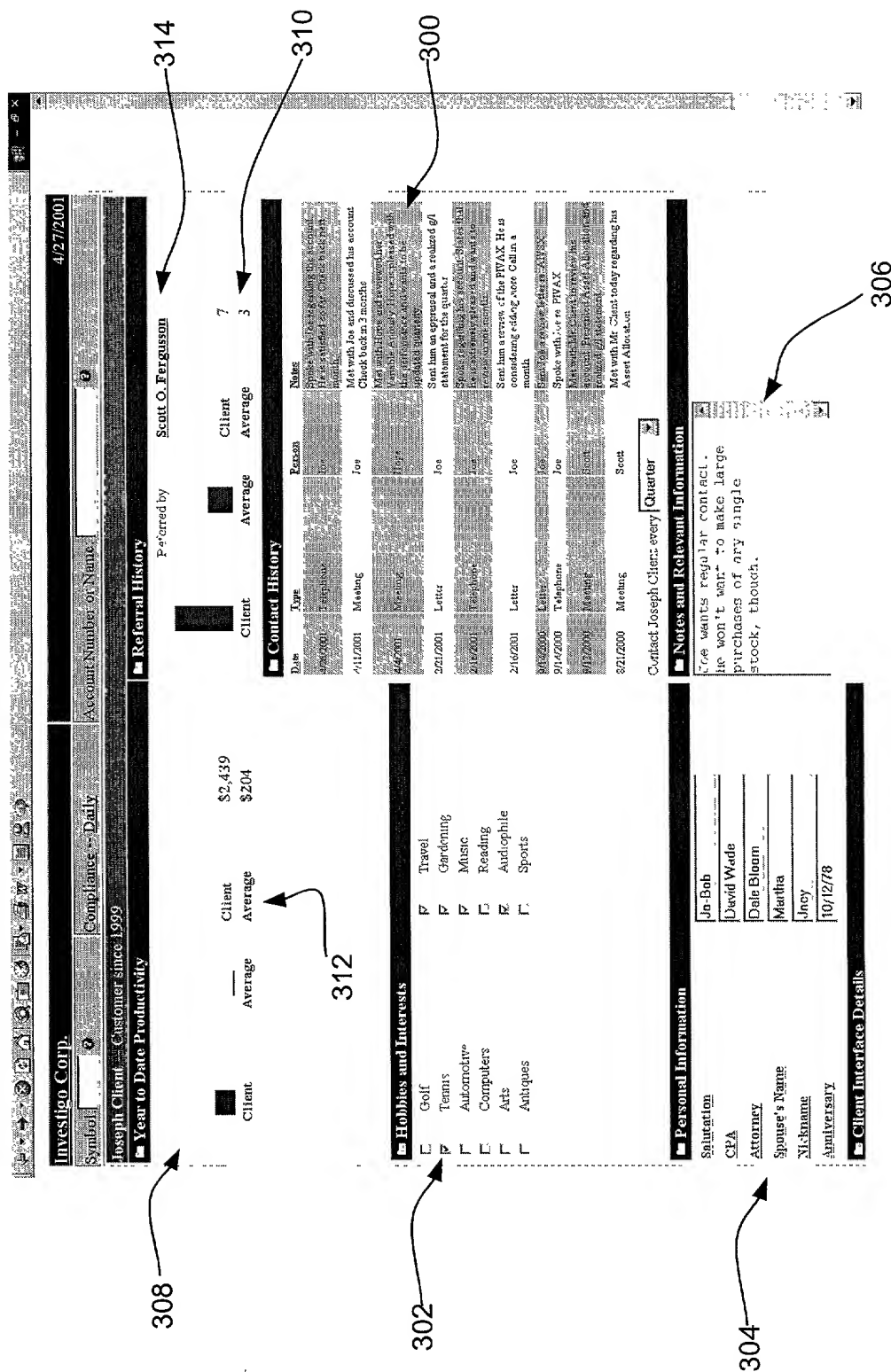


FIG. 28